

Individual probity form

Liquor & Gaming NSW

Before you complete this form

What this form is for

The completed form will be used by Liquor & Gaming NSW (L&GNSW) to assess the suitability of an individual to be concerned in or associated with the management and operation of a casino licence, gaming-related licence, wagering licence or Public Lottery licence.

How this application will be processed

On receipt of all the necessary information, L&GNSW will undertake a probity assessment.

You may be required to be interviewed by L&GNSW inspectors or the NSW Police Force on one or more occasions. If required, the place and form of the interview will be advised and at the discretion of L&GNSW or the NSW Police Force. All persons to be interviewed are required to bear their own cost of travel and accommodation.

Warning about false or misleading information or incomplete disclosure

Every part of this form must be completed. The information you provide will be checked by various agencies. If you fail to fully disclose relevant matters, your application may be refused.

Providing false or misleading material, information or documents to L&GNSW or any of its inspectors is a criminal offence. Your application may be refused, and you may be prosecuted or fined, or both.

If the information you provide changes before the process is finalised, you must immediately give L&GNSW notice in writing specifying the details of the change.

How to complete this form

1. Type or print in BLOCK LETTERS an answer to every question.
2. If an electronic version of this form is being completed, information supplied in response to a question may be inserted but no question, or the order of questions, is to be altered.
3. If a question does not apply or there is nothing to disclose state "Nil".
4. If there is insufficient space to answer a question, additional information may be provided on an attachment page. When required to use an attachment page precede each answer with the title applicable to that question and PRINT your name on the page.
5. All dates should be completed in the form: Day / Month / Year.
6. This form is to be completed in the English language. Any documents which are required to be provided and which are in a language other than English, are to have a certified English translation appended.
7. Documents or other information sought from authorities in Australia may not be applicable to an individual currently or previously residing in another country. In such cases the documents or information should be obtained from the equivalent authority of that country.
8. Note that in this form a reference to a person includes reference to a corporation.
9. Persons completing this form should be aware that probity assessments will not be commenced until required information is provided. In addition, a probity assessment may be halted where additional information sought is not provided in a timely manner.

continue overleaf

To lodge this form or for further information

@ gaming.probity@liquorandgaming.nsw.gov.au
For probity matters relating to gaming, wagering, or public lotteries

casino.probity@liquorandgaming.nsw.gov.au
For probity matters relating to casino.

Please provide all pages of this application and supporting documents.

Need more information?

 liquorandgaming.nsw.gov.au

Your privacy

We will handle your personal information in accordance with the *Privacy and Personal Information Protection Act 1998*. It is being collected by Liquor & Gaming NSW and will be used for the purpose of processing your application and may be disclosed to other Government agencies for this purpose. General information about your application may be published on an electronic noticeboard and information about the application, if granted, on a public register. Providing this information is voluntary, but where relevant information is not provided this may lead to your application being refused, delayed or not further considered. You have the right to request access to, and correct details of, your personal information held by us. You can access further information on privacy at liquorandgaming.nsw.gov.au

Pre-lodgement checklist

Before submitting this form please complete the following checklist

- ☐ I have answered all questions in writing;
- ☐ I have answered all questions completely (including 'Nil' if nothing to disclose);
- ☐ I have completed and signed the **Authority for Release** of Information (page) and the **Declaration** (page)

I have attached the following:

- ☐ proof of identity;
- ☐ copy of certificate of citizenship/naturalisation (if applicable);
- ☐ copies of last three tax returns and assessment notices;
- ☐ copy of tertiary qualifications (if applicable);
- ☐ copy of armed forces discharge papers (if applicable);
- ☐ copy of evidence of membership of any professional body;
- ☐ National Police Certificate (or overseas Police Report or Clearance)
- ☐ copy of credit report
- ☐ summary of work and business history;
- ☐ copy of resume or curriculum vitae
- ☐ copies of trust financial statements (if applicable).

Certified documents

The certified copy must include the statement "*I certify that this is a true copy of the original document*". The certifier must also include their full name, signature, date, registration number (if any) and qualification or occupation which makes them eligible to certify documents, on each of the photocopied identification documents.

Copies of all documents must be certified copies.

A document can be certified by:

- ▲ An accountant (member of a recognised professional accounting body or a Registered Tax Agent).
- ▲ A person listed on the roll of the Supreme Court of a State or Territory or the High Court of Australia as a legal practitioner.
- ▲ A barrister, solicitor or patent attorney.
- ▲ A police officer.
- ▲ A postal manager.
- ▲ A principal of an Australian secondary college, high school or primary school.
- ▲ A medical practitioner.
- ▲ A Justice of the Peace (with a registration number in the State in which they are registered).
- ▲ A minister of religion (registered under Subdivision A of Division 1 of Part IV of the *Marriage Act 1961*).

Individual probity form

Liquor & Gaming NSW

OFFICE USE ONLY

FM2001

By

☐ eMail

Date lodged

DD / MM / YYYY

Finalised by

Date finalised

DD / MM / YYYY

Certification

Applicant

I, Full name of person

hereby declare as under:

- I am the person identified in this document.
- I have personally completed this form or have supplied all the information indicated herein and have confirmed its accuracy in the completed form.
- I certify that the particulars contained herein and all matters accompanying this form are true and correct in every detail and fully disclose the information required to complete this form.
- I am the person in the photograph attached below (which is less than six (6) months old).
- I agree, if requested and obliged by legislation, to have my fingerprints taken and checked for the purpose of probity.
- If requested, I agree to provide income tax returns or income tax assessments (further to those already requested in this form).
- I agree, if requested, to provide further documentation as evidence of the accuracy of responses given in this form.

Attach original
colour photo
here

Date of photograph

DD / MM / YYYY

Photograph attached and this certificate signed at

Address

Signature

Date

DD / MM / YYYY

Authorised witness

Name of witness

Address

I confirm that the attached photograph is of:

Applicant name

Applicant address

Authorised witness signature

Date

DD / MM / YYYY

continue overleaf

Part 1 Personal information - Information to be provided as attachments

The following **attachments** must accompany this form:

1A. A copy of each of the following as proof of identify:

- (i) full birth certificate
- (ii) current drivers licence (or a Proof of age card or Photo Card issued by an Australian state or territory)
- (iii) all Marriage Certificates
- (iv) where applicable, any change of name deed poll certificate

1B. Copies of the identification section of all current passports.

1C. A copy of certificate of Australian citizenship/naturalisation (if applicable).

1D. Copies of your last three individual tax returns and last three assessment notices.

1E. For all formal qualifications, please supply a copy of your certificates of qualification.

1F. A copy of armed forces discharge papers (if applicable).

1G. Copies of certificates of membership or other evidence (e.g. receipt from payment of fees) of membership of any professional bodies.

1H. Copy of a National Police Certificate issued within the last 6 months –

(To obtain a Certificate in NSW, visit your local police station and complete a 'National Criminal History Record Check application form P799 or go to www.police.nsw.gov.au/online_services and select the Criminal Records link. Similar arrangements apply in other States/Territories.)

1I. For overseas residents, a Police Report or Clearance from the appropriate authority in the jurisdiction in which you currently reside. (Police Reports or Clearances older than six (6) months will not be accepted and, **if not in English, a certified transcript is required.**)

1J. A copy of a credit report issued by a national credit reporting body within the last three months.

Note

Your name and date of birth must exactly match the official name on your birth certificate, or any name change documents issued since then, such as a marriage or deed poll certificate)

1K. Copies of financial statements for the last two (2) financial years of any trust of which you are a named beneficiary or trustee.

1L. A copy of your resume or curriculum vitae.

1M. A copy of the role description of the newly appointed position.

1N. Summary of Work History and Business History.

1. Details of all Directorships (**including past and present**).

This should be set out in tabular form[†] using the following headings –

- ▲ Name of Company
- ▲ Location of Company
- ▲ Date of Appointment as Director
- ▲ Date of Cessation as Director (where applicable)
- ▲ Principal Activity of Company

To assist in ensuring that all Australian companies for which you have been a director are disclosed, it is requested that you obtain a **historical** directorship listing from an agent of the Australian Securities and Investments Commission (ASIC) to aid in the completion of this question.

The ASIC search should not be automatically considered as being a comprehensive list of your directorships (past and present). You must ensure that any Australian or **overseas** corporation with which you have been a director is listed in your response, regardless of whether it features in the ASIC listing.

2. Details of all businesses conducted by you either solely, in partnership or through some other means not detailed in "1" above (including past and present).

This should be set out in tabular form[†] using the following headings –

- ▲ Name of Entity
- ▲ Location of Entity
- ▲ Date of Commencement
- ▲ Date of Cessation
- ▲ Principal Activity of Entity

In relation to partnerships, please provide the names of the other partners.

3. Details of all employment over the last 10 years that has not already been detailed in "1" or "2".

This should be set out in tabular form[†] using the following headings:

- ▲ Name of Employer
- ▲ Address of Employer
- ▲ Position Title
- ▲ Date of Commencement
- ▲ Date of Cessation
- ▲ Description of Duties

[†]Example of tabular form -

Heading	Heading	Heading
---------	---------	---------

continue overleaf

Part 2 Personal information - Information to be provided within this form

2A Personal details

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Other

Given name

Middle name

Family name

Alias(es), nicknames, maiden name, other name changes,
you have used or by which you have been known (legal or
otherwise)

Current residential address

Suburb/town/city

State Postcode

Current business address

Suburb/town/city

State Postcode

Period business has operated

to

Period business has operated at current address

to

Current occupation

Mobile

Home phone

Daytime phone

Email

Date of birth

Gender

City or town of birth

Country of birth

Physical description

Height cm

Weight kg

Hair colour

Eye colour

Complexion

Scars, tattoos or other distinguishing marks

Are you an Australian citizen?

☐ Yes

☐ No

If a naturalised citizen of Australia, state the date and place
of naturalisation and the certificate number

Date

continue overleaf

Place of naturalisation

Certificate number

Note

A copy of your citizenship/naturalisation certificate is required in response to Part 1C of this form

If a citizen of another country, state that country:

Are you enrolled on an electoral roll in Australia or elsewhere?

☐ Yes ▶ supply details

☐ No ▶ supply details

If **Yes**, state the name and address under which enrolled:

If **No**, give reasons why not enrolled:

Do you hold a current Australian driver licence?

☐ No

☐ Yes ▼ provide details

Driver licence no.

State

Note

A copy of your driver's licence is required in response to Part 1A of this form

2B Marital details

Are you married or in a de facto relationship?

☐ No ▶ Go to Part 2C

☐ Yes ▼ provide details of spouse/de facto:

☐ Mr ☐ Ms ☐ Mrs ☐ Miss ☐ Other

Given name

Middle name

Surname

Maiden name (where applicable)

Date of birth

DD / MM / YYYY

City or town of birth

Country of birth

Current residential address

Suburb/town/city

State

Postcode

Occupation

Employer's name

List of current names (including maiden surname) and current addresses of previous spouses/de factos. If deceased you are respectfully requested to indicate accordingly.

1. Full name

Maiden name (where applicable)

continue overleaf

Current residential address

Suburb/town/city

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State

--	--	--

Postcode

--	--	--	--	--

2. Full name

Maiden name (where applicable)

--

Current residential address

Suburb/town/city

--

State

--	--	--

Postcode

--	--	--	--	--

2C Family details

Details of deceased persons are respectfully requested

Father:

Given name

--

Middle name

--

Family name

--

Date of birth

DD / MM / YYYY

Occupation*

--

Mother:

Given name

--

Middle name

--

Family name

--

Date of birth

DD / MM / YYYY

Occupation*

--

(*If retired or deceased, please show main occupation during working life.)

continue overleaf

List all brothers and sisters (whether a blood relative or not). Show relationship to each.

Relationship	Present Surname	First Name	Middle Name	Date of Birth	*Occupation
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	

List all children, including step or adopted children. Show relationship to each.

Relationship	Present Surname	First Name	Middle Name	Date of Birth	*Occupation
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	
				DD / MM / YYYY	

(*If retired or deceased, please show main occupation during working life.)

2D Education /Qualifications

What level of primary or secondary education did you attain?

Name of last primary or secondary educational institution attended

Year you graduated/left the primary or secondary educational institution

List any tertiary qualifications, including the name of the qualification and the institution from which it was obtained and the date that it was obtained.

Note

Copies of qualifications are to be supplied in response to Part 1E of this form

Qualification

Institution

Date obtained

Qualification

Institution

Date obtained

Qualification

continue overleaf

Institution

Date obtained

DD / MM / YYYY

Qualification

--

Institution

Date obtained

DD / MM / YYYY

2E Armed forces details

Have you ever served in any armed forces?*

- ☐ No ▶ Go to Part 2F
- ☐ Yes ▼ please complete the following:

Country

--

Arm of service

Date of entry

DD / MM / YYYY

Date of discharge

DD / MM / YYYY

Rank at discharge

Serial number

--

Note

A copy of discharge papers is required to be provided in response to Part 1F of this form

While in the armed forces were you ever arrested for an offence which resulted in summary action, a trial or court martial?*

- ☐ No
- ☐ Yes ▼ please provide details:

2F Passport and travel details (if applicable)

Have you ever held a passport from any country?

- ☐ No ▶ Go to Part 2G
- ☐ Yes ▼ please provide details:

For each passport that you hold please provide the following information. (If insufficient space use an attachment page.)

1. Passport number

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Country

--

Place of issue

--

Date of issue

DD / MM / YYYY

Date of expiration

DD / MM / YYYY

continue overleaf

2. Passport number

Country

Place of issue

Date of issue

Date of expiration

Note

Copies of all current passports are required in response to Part 1B of this form

Have you ever been refused entry or expelled/deported from any country?

☐ No

☐ Yes ▼ please provide details:

Have you travelled outside your country of residence during the past ten (10) years?

☐ No

☐ Yes ▼

Complete the following in relation to all travel associated with the gaming industry conducted over the last ten (10) years.

Country visited	Arrival	Departure	Reason for business travel
	DD / MM / YYYY	DD / MM / YYYY	
	DD / MM / YYYY	DD / MM / YYYY	
	DD / MM / YYYY	DD / MM / YYYY	
	DD / MM / YYYY	DD / MM / YYYY	
	DD / MM / YYYY	DD / MM / YYYY	
	DD / MM / YYYY	DD / MM / YYYY	
	DD / MM / YYYY	DD / MM / YYYY	
	DD / MM / YYYY	DD / MM / YYYY	

continue overleaf

2G Residences

List all addresses at which you have been a permanent resident over the last ten (10) years beginning with your current address and working backwards. Show the period at each residence.

From	To	House/unit no. and street	Suburb/City	State	Country
MM / YYYY	MM / YYYY				
MM / YYYY	MM / YYYY				
MM / YYYY	MM / YYYY				
MM / YYYY	MM / YYYY				
MM / YYYY	MM / YYYY				
MM / YYYY	MM / YYYY				
MM / YYYY	MM / YYYY				
MM / YYYY	MM / YYYY				

2H Firearms

Do you or your spouse/de facto own a firearm?

☐ No

☐ Yes ▼ please advise reason for ownership:

Have you or your spouse/de facto ever applied for a firearm licence?

☐ No

☐ Yes ▼ please advise:

Date of application

DD / MM / YYYY

Place lodged

--

Was application successful?

☐ Yes ☐ No

If **No**, reason for denial:

If **Yes**, reason for ownership:

2I Arrests, detentions and litigation

Are you seeking entitlement to non-disclosure and will not be answering the below questions?

☐ Yes ☐ No

An attachment setting out the reasons for non-disclosure including copies of the relevant legislation should accompany this form.

Have you ever been in New South Wales or elsewhere:

Convicted or an offence?

☐ Yes ☐ No

Investigated (to the best of your knowledge) by a law enforcement agency?

☐ Yes ☐ No

Arrested by a law enforcement agency?

☐ Yes ☐ No

Detained by a law enforcement agency?

☐ Yes ☐ No

Charged by a law enforcement agency?

☐ Yes ☐ No

Summoned before a court to answer any offence for any reason whatsoever regardless of the outcome of the action?

☐ Yes ☐ No

continue overleaf

Had any charge proved against you without a conviction being recorded?

☐ Yes ☐ No

Cautioned by the police?

☐ Yes ☐ No

The subject a Violence Order?

☐ Yes ☐ No

The subject of a cancelled or suspended driver's licence?

☐ Yes ☐ No

If you answered **yes** to any of the above, provide full details by attachment.

Have you ever been a party in a civil lawsuit, either personally or as a company officer, or are you aware of any such action that may be pending?

☐ No

☐ Yes ▼ please provide details:

Have you ever had a judgement returned against you?

☐ No

☐ Yes ▼ please provide details (unless already provided):

Have you been the subject of debt recovery action?

☐ No

☐ Yes ▼ please provide details:

2J Involvement in gaming and other employment

Are you or have you been associated with the ownership, administration or management of, or held any financial interest in:

- ☐ a casino;
- ☐ Keno or lottery operations;
- ☐ Interactive/online gambling operation;
- ☐ race wagering or sports wagering operations (including bookmaking);
- ☐ a liquor licence; a club, hotel or tavern; or
- ☐ the manufacture, assembling, selling, distributing, importing supplying, repairing or operation of gaming machines, in-line machines, lucky envelope machines or other amusement devices?

If **Yes** to any, please provide details:

continue overleaf

Are you or have you ever been engaged in bookmaking operations in any capacity or otherwise involved in the racing industry other than as specified above?

- ☐ No
- ☐ Yes ▼ please provide details:

Have you ever previously been subject to a probity investigation by or on behalf of any law enforcement authority or government regulator?

- ☐ No
- ☐ Yes ▼ please provide details including name of the investigating authority, purpose of the probity investigation, the year of the investigation and the result:

Have you ever been dismissed, discharged or asked to resign from any employment? This includes any position as a director or secretary of a company.

- ☐ No
- ☐ Yes ▼ please complete the following:

Date	Name and address of employer	Supervisor's name	Reason for dismissal, discharge or resignation
DD / MM / YYYY			
DD / MM / YYYY			
DD / MM / YYYY			
DD / MM / YYYY			
DD / MM / YYYY			
DD / MM / YYYY			
DD / MM / YYYY			
DD / MM / YYYY			

continue overleaf

2K Licences, certificates and memberships

List below all gaming approvals, licences or authorities obtained, whether issued in this State or elsewhere. Include any licence or certificate which has been cancelled, suspended or had conditions attached. Explain the reason for any cancellation or suspension and the nature of any attached condition on an attachment page.

Date of issue	Place issued	Type of licence	Licence number (if applicable)	Status
DD / MM / YYYY				
DD / MM / YYYY				
DD / MM / YYYY				
DD / MM / YYYY				
DD / MM / YYYY				
DD / MM / YYYY				
DD / MM / YYYY				
DD / MM / YYYY				

Have you ever been refused a licence, authority or approval or otherwise found unsuitable by any gaming authority?

- ☐ No
- ☐ Yes ▼ please provide details:

Do you belong to any professional body, association or institute?

- ☐ No
- ☐ Yes ▼ please provide details:

Note

Evidence of your membership of these professional bodies is required in response to Part 1G of this form

Have you ever been investigated or disciplined by a professional body, association or institute?

- ☐ No
- ☐ Yes ▼ please provide details:

2L Financial details

(i) Have you ever been bankrupt or subject to an arrangement under the *Bankruptcy Act 1966* (i.e. made some arrangement with your creditors) or an overseas equivalent?

- ☐ No
- ☐ Yes ▼ complete the following:

Date of bankruptcy/arrangement

DD / MM / YYYY

Date of discharge/completion (proposed date)

DD / MM / YYYY

Name of trustee

--

Address

continue overleaf

Suburb/town/city

State

Postcode

Contact phone

Provide details of the circumstances leading to bankruptcy/arrangement proceedings (including details of any pending arrangements):

(ii) Have you ever been a director or officer of a company, that while you were a director/officer or in the 12 months subsequent to your ceasing to be a director/officer:

- ▲ been placed into liquidation, receivership, scheme of arrangement or under other formal insolvency administration;
- ▲ had an agent for the mortgagee appointed; or
- ▲ had an investigation into the affairs of the company authorised under the Australian Securities and Investments Commission, its predecessors or equivalent overseas body?

☐ No ► Go to Q(iii)

☐ Yes ▼ complete the following:

Type of proceedings

Commencement date

DD / MM / YYYY

Company name

Name of trustee, administrator, liquidator or receiver/manager

Contact phone

Details of circumstances leading to proceedings (including details of any pending arrangements) and a copy of the external administrators report where available is to be supplied.

(iii) Are you currently a director or officer of a company that:

- ▲ is in liquidation, receivership, subject to a scheme of arrangement or under other formal insolvency administration;
- ▲ has an agent for the mortgagee appointed; or
- ▲ is having its affairs investigated under the authorisation of the Australian Securities and Investments Commission, its predecessors or equivalent overseas body?*

☐ No ► Go to Q(iv)

☐ Yes ▼ complete the following:

▲ If YES, complete the following:

Type of proceedings

Commencement date

DD / MM / YYYY

Company name

continue overleaf

Name of trustee, administrator, liquidator or receiver/manager

Contact phone

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Details of circumstances leading to proceedings (including details of any pending arrangements) and a copy of the external administrators report where available is to be supplied.

(iv) Have you ever been investigated by the regulator of corporations law in Australia or overseas (in Australia this is currently Australian Securities and Investments Commission (ASIC))?

☐ No

☐ Yes ▼ please provide details:

(v) Have you ever been disqualified from acting as a director of a company under any of the provisions of current or previous Australian Corporation and Securities Legislation or overseas equivalents?

☐ No ► Go to Q(vi)

☐ Yes ▼ complete the following:

Date of disqualification

DD / MM / YYYY

Reason for disqualification (include details of any pending arrangements)

(vi) Has your salary, wage, earnings or other income ever been subject to a Garnishee Order, attachment or the like?

☐ No

☐ Yes ▼ please provide details:

(vii) Have you ever had an article repossessed by a finance company or the like?

- ☐ No
- ☐ Yes ▼ please provide details:

(viii) Are you up to date with your tax lodgements?

- ☐ Yes
- ☐ No ▼ please provide reasons:

Note

A copy of your most recent tax return and assessment notice has been requested at Part 1D of this form

(ix) Are you, or any company of which you are a director, being investigated by any taxation authority in any country?

- ☐ No
- ☐ Yes ▼ please provide details:

(x) Have you or any company of which you were a director at the time, been investigated by any taxation authority in any country?

- ☐ No
- ☐ Yes ▼ please provide details:

continue overleaf

(xi) Are you involved in any family trust or any other form of trust including in the capacity of trustee?

☐ No

☐ Yes ▼ please provide details:

Note

Details of the trust are required in response to Part 1K of this form

(xii) Please provide details of any company of which you are a substantial shareholder in terms of the *Corporations Act 2001* (Australian).

(xiii) Excluding those corporations listed on a stock exchange, please provide details of all corporations, the shares in which you or your spouse/de facto have a direct or indirect interest. An indirect interest is considered to exist where:

- ▲ You are a beneficiary of a trust that holds the shares.
- ▲ The shares are held by another person on your behalf, whether or not they are held in accordance with a formal trust agreement.
- ▲ The shares are held by an unlisted corporation and you hold shares in that corporation or a related unlisted corporation.⁺
- ▲ For any other reason you have an equitable claim to benefits or voting rights attached to the shares.

⁺ (1) For the purpose of this question, a corporation is related to another corporation where it is:

- a holding company of the other corporation; or
- a subsidiary of the other corporation; or
- a subsidiary of a holding company of the other corporation.

(2) For the purpose of this question, an unlisted corporation is a corporation that is not listed on a stock exchange.

(xiv) Statement of Assets

Please complete the following schedule in relation to your assets as at:

Date

DD / MM / YYYY

(i.e. date of this statement or whatever date that is convenient in the last 12 months).

Note

Where assets are held jointly please detail the percentage share relating to yourself only and the associated dollar value. Where a significant portion of marital assets are held in your spouse's name, please include these assets in your statement, indicating that they are not in your name.

continue overleaf

Current Assets

▲ All amounts to be shown in Australian dollars

Funds held with financial institutions				
Financial Institution	Branch	Country	Account Number	(A\$)

Cash otherwise held	
Describe where funds are located and the amount held	(A\$)

Debts owing to you by other persons, including family members	
Give details including due dates	(A\$)

continue overleaf

Shares (including family company shares), bonds, debentures, notes etc					
Company	Type	No. held	Year of acquisition	Total acquisition cost (A\$)	Estimated market value (A\$)

Superannuation		
Description	Total contributions (A\$)	Estimated market value (A\$)

Real estate (own residence and other properties)			
Location and description	Year of acquisition	Total acquisition cost (A\$)	Estimated market value (A\$)

continue overleaf

Motor vehicles, caravans, boats, furniture, jewellery, antiques, collections and any other assets not listed above

Description	Total contributions (A\$)	Estimated market value (A\$)

Assets held on your behalf, whether in trust or otherwise

Description	Total contributions (A\$)	Estimated market value (A\$)

TOTAL ASSETS

continue overleaf

(xv) Statement of Liabilities

Please complete the following schedule in relation to your liabilities as at

Date

DD / MM / YYYY

(i.e. date of this statement must be as at the date for the Statement of Assets).

Note

- Describe fully. Indicate secured and unsecured liabilities.
- Where liabilities are held jointly please detail the percentage share relating to yourself only and the associated dollar value. Where a significant portion of marital liabilities are held in your spouse's name, please include these liabilities in your statement, indicating that they are not in your name.

Liabilities to financial institutions including home, investment and personal loans, overdrafts and credit card liabilities

1. Borrower's/Account/ Cardholder's Name:

Financial institution

Branch

Amount of loan

A\$

Amount outstanding

A\$

Monthly repayment

A\$

Due date

DD / MM / YYYY

☐ Secured ☐ Unsecured

2. Borrower's/Account/ Cardholder's Name:

Financial institution

Branch

Amount of loan

A\$

Amount outstanding

A\$

Monthly repayment

A\$

Due date

DD / MM / YYYY

☐ Secured ☐ Unsecured

3. Borrower's/Account/ Cardholder's Name:

Financial institution

Branch

Amount of loan

A\$

Amount outstanding

A\$

Monthly repayment

A\$

Due date

DD / MM / YYYY

☐ Secured ☐ Unsecured

continue overleaf

Other liabilities (indicate details of creditors)

1. Creditor details

Amount

A\$

2. Creditor details

Amount

A\$

3. Creditor details

Amount

A\$

4. Creditor details

Amount

A\$

Total liabilities

Amount

A\$

NET WORTH

Amount (total assets LESS total liabilities)

A\$

Date

DD / MM / YYYY

Contingent liabilities (i.e. Liabilities of an indefinite nature or unspecified amount for which you may become liable in the future. Please provide details.)

Personal guarantees (Provide details of any personal guarantees that you have provided and which are still current.)

Assets provided as security (Provide details of any of your personal assets that are currently subject to some form of security or charge. The security need not relate to a personal debt, i.e. the security may have been provided to a creditor of a company with which you are involved.)

continue overleaf

(xvi) Do you hold any assets on behalf of any other person, with or without the existence of a formal trust agreement?

☐ No

☐ Yes ▼ please provide reasons:

(xvii) Has there been any material change in your net worth since the date shown above?

☐ No

☐ Yes ▼ please provide reasons:

(xviii) Source of Funds

Indicate below the sources over the past five (5) years of all income and other benefits received, in money or in kind, for your use or disposal. List the amount and source of each item received by yourself (and your spouse/de facto, if received jointly) and list each year separately. Where applicable, indicate whether the amount shown is the gross amount or net amount.

The list should include, but not be limited to, any of the following received:

- ▲ wages and/or salaries;
- ▲ dividends, interest;
- ▲ rental income;
- ▲ principal repayments relating to loans to other parties;
- ▲ inheritance;
- ▲ termination payments;
- ▲ bonuses;

- ▲ capital gains from any real estate, share or other asset sales;
- ▲ superannuation or annuity payments;
- ▲ gifts totalling greater than \$2,000 from any single person or entity over the 12 month period;
- ▲ net profits from gambling activities.

Year ended

YYYY

Source	Amount (A\$)
TOTAL	

Year ended

YYYY

Source	Amount (A\$)
TOTAL	

Year ended

YYYY

Source	Amount (A\$)
TOTAL	

continue overleaf

YYYY

[illegible]


YYYY

Source	Amount (\$)
TOTAL	

2M Influences, conflict of interest and disqualified persons

L&GNSW must be advised of any matter which could be seen as having an undue influence on the outcome of a probity investigation or an application for a licence. Disclosures must also be made of persons with a potential conflict of interest.

Have you or any associates, or employees or representatives been involved in any lobbying, meetings, discussions or negotiations with any government official in regard to this probity investigation or, if applicable, this or any other application for a gaming licence?

- ☐ No
- ☐ Yes  please provide details of the official's name, name of persons acting on behalf of the company and the date and nature of any lobbying, meetings, discussion or negotiations held

[illegible]

continue overleaf

Part 3 Declaration

I, Full name of person

Address

Suburb/town/city

State

Postcode

do by solemnly declare and affirm that

- ▲ I have made all reasonable enquiries to ascertain the information to permit me to correctly complete this application.
- ▲ I have personally checked the correctness of all the answers given in this application.
- ▲ The answers are true and correct and I have not omitted any material matter in providing the answers.
- ▲ I understand it is an offence if I fail to immediately notify the Authority in writing of any changes to the information supplied in this application which occur before the application is determined.

Declared at (address where declaration is witnessed and signed by declarant and JP):

Address

Suburb/town/city

State

Postcode

Signature

Date

3A Witness details

Name (Justice of the Peace/Legal Practitioner)

Registration number

Signature

Date

continue overleaf

Authority for release of information

1. I authorise Liquor & Gaming NSW, Department of Customer Service and the New South Wales Police Commissioner, to make investigations about me for the purposes of determining my suitability for the purposes of licensing or in relation to an existing licence under the *Gaming Machines Act 2001*, *Public Lotteries Act 1996*, *Totalizator Act 1997* and *Casino Control Act 1992* ("the Acts").
2. Authorise any person conducting any investigations or enquiries on behalf of Liquor & Gaming NSW, Department of Customer Service, for the purposes of the Acts, including any officer of Liquor & Gaming NSW, or the NSW Police Force ("Authorised Person"), to obtain any information and make any investigations or enquiries which relate to me and may be relevant to any of the purposes of the Acts in any jurisdiction.
3. Authorise an officer of any Australian or overseas law enforcement agency, regulatory body or financial institution (whether located in or outside of Australia) to whom a copy of this authority is presented to allow any Authorised Person to inspect and obtain copies of, or to release to any Authorised Person, any record, document or other information of any kind in written, electronic or any other form, which relates to me and is held by any law enforcement agency, regulatory body or financial institution.
4. Those bodies may include, but are not limited to, the Australian Tax Office (ATO), Australian Securities and Investments Commission (ASIC), Australian Federal Police (AFP), Foreign Investment Review Board (FIRB), Australian Transaction Reports and Analysis Centre (AUSTRAC), Australian Criminal Intelligence Commission (ACIC), Border Force and their overseas equivalent.
5. The information held by these bodies may include, but is not limited to financial records, personal and criminal history, probity assessments and approvals.
6. Will at all times sufficiently indemnify those officers referred to in "3" above and the Authorised Person and keep those officers referred to in "3" above and the Authorised Person indemnified against all liability in respect of the supply or publication of the information and against all actions, suits, proceedings, claims, demands, costs and expenses whatsoever which may be taken against those officers referred to in "3" above and the Authorised Person or incurred or become payable by the Authorised Persons in respect thereof.

Name

Address

Suburb/town/city

State

Postcode

Signature

Date

Witness details

Name

Address

Suburb/town/city

State

Postcode

Signature

Date