

A statutory board established under the Gaming and Liquor Administration Act 200.

Our ref: DOC19/076997

Ms Belinda King
Licensee
Romano's Hotel

Mr John Coady
Manager Compliance
Liquor & Gaming NSW

Donna Thomas
Southern Region Licensing
Coordinator
Riverina Police District

4 July 2019

Dear Mrs King

Reference No. DOC19/076997

Matter Notification of a potential first strike offence

Notification submitted by Mr John Coady, Manager Compliance, Liquor & Gaming NSW

LicenseeMs Belinda KingLicence nameRomano's HotelLicence no.LIQH400121164

**Licence type** Liquor – hotel licence

Premises 81 Fitzmaurice St, WAGGA WAGGA NSW 2650

**Issue** Whether a first strike should be imposed against Ms Belinda King

**Legislation** Section 144E of the *Liquor Act 2007* 

# Determination of a potential first strike offence in relation to Ms Belinda King, the licensee of Romano's Hotel

On 21 February 2019, Mr John Coady, Manager Compliance, Liquor & Gaming NSW (L&GNSW) submitted to the Independent Liquor and Gaming Authority (Authority) notification that the licensee, Ms Belinda King (Licensee) of the Romano's Hotel had committed a prescribed offence and potentially incurred a first strike (Notification).

At its meeting on 17 April 2019, the Authority considered the Notification and submissions made by L&GNSW, NSW Police (Police) and the Licensee in response to the Notification.

The Authority, based on the information before it, is satisfied that the Licensee committed the prescribed offence of '*licensee allow liquor to be sold or supplied to a minor on licensed premises*' and has determined to impose a first strike against the Licensee in accordance with section 144E of the *Liquor Act 2007* (Act).

The first strike will commence from 5 July 2019 and will expire on 5 July 2022.

Due to the seriousness of harm that occurred as a result of the prescribed offence in the form of a minor being physically ill and unresponsive due to his level of intoxication, the Authority considers that it may be in the public interest to take further remedial action against the licence of the Romano's Hotel (LIQH400121164).

The Authority, pursuant to section 144G(1) of the Act, is minded, subject to consideration of any final submissions, to impose the following conditions on the licence:

## **Plan of Management**

- 1) The licensee must engage a person who holds a class 2A security licence, or is otherwise suitably qualified, to prepare a plan of management (POM) for the licensed premises and submit the POM to the Authority for approval within 1 month
  - The plan should be systems based and adopt a continuing approach to address the following:
    - 1) Compliance with licence conditions and liquor laws.
    - 2) The responsible service of alcohol.
    - 3) Minimising disturbance to the neighbourhood particularly addressing effective management of patrons:
      - a) who are approaching intoxication, intoxicated, violent, quarrelsome or disorderly,
      - b) queuing to gain entry to the premises, and
      - c) within and departing the premises.
    - 4) Effective management and deployment of venue staff particularly addressing:
      - a) maintaining an incident register,
      - b) security and patron safety,
      - c) crime scene management procedures, and
      - d) induction and training
    - 5) Appropriate responses to concerns raised by NSW Police or residents affected by the operation of the licensed premises.
- 2) The premises is to be operated at all times in accordance with the Plan of Management dated <insert date> as may be varied from time to time after consultation with NSW Police. A copy of the Plan of Management is to be kept on the premises, and made available for inspection on the request of a police officer, council officer, Liquor and Gaming NSW inspector, or any other person authorised by the Independent Liquor and Gaming Authority.

## **Incident Register**

- 1) An Incident Register will be maintained at the premises. The incident register shall be used at all times the hotel is trading and be readily available for inspection at any time the premises are trading by NSW Police and Inspectors of Liquor and Gaming NSW(L&G). The Incident Register shall record the occurrence, at any time of the day, of:
  - (a) any incident involving violence or anti-social behaviour occurring on the licensed premises;
  - (b) any incident of which the licensee is aware that involves violence or anti-social behaviour occurring in the immediate vicinity of the licensed premises and that involves a person who has recently left, or been refused admission to, the premises;
  - (c) any incident that results in a person being turned out of the licensed premises under section 77;
  - (d) any other incident of a kind prescribed by the Liquor Regulation 2018 with regard to requirements for the maintenance of an Incident Register;
  - (e) any third-party disturbance complaint received by the Hotel in relation to the premises; and
  - (f) any malfunction of breath analysis equipment, CCTV or noise limitation equipment, and the action taken in relation to all such matters.

Additionally, the Authority, of its own initiative, pursuant to section 53(1)(b) of the Act, is minded, subject to consideration of any final submissions, to impose the following condition on the licence:

## **CCTV**

- 1) The licensee must maintain a closed-circuit television (CCTV) system on the premises in accordance with the following requirements:
  - (a) the system must record continuously from opening time until one hour after the premises is required to close (or, in the case of a premises that is not required to cease trading, continuously at all times),
  - (b) recordings must be in digital format and at a minimum of six (6) frames per second,
  - (c) any recorded image must specify the time and date of the recorded image,
  - (d) the system's cameras must cover the following areas:
    - (i) all entry and exit points on the premises,
    - (ii) the footpath immediately adjacent to the premises, and
    - (iii) all publicly accessible areas (other than toilets) within the premises.
- 2) The licensee must also:
  - (a) keep all recordings made by the CCTV system for at least 30 days,
  - (b) ensure that the CCTV system is accessible at all times the system is required to operate pursuant to clause 1(a), by at least one person able to access and fully operate the system, including downloading and producing recordings of CCTV footage, and
  - (c) provide any recordings made by the system to a police officer or Liquor and Gaming NSW inspector within 24 hours of any request by the police officer or Liquor and Gaming NSW inspector to provide such recordings.

Enclosed with this letter is a statement of reasons for the Authority's decision. At the end of the statement of reasons, the Authority gives notice of its consideration to take the above measures against the licence and invites NSW Police, the Compliance Operations Unit of L&GNSW and the Licensee to provide submissions before making a final decision. The timetable for the making of submissions is provided at the end of the statement of reasons.

Details regarding the right to apply to the Authority after a six month period to seek a review of its decision to impose the first strike or to seek a review of the Authority's decision by the NSW Civil and Administrative Tribunal are also provided at the end of the statement of reasons.

If you have any questions about this letter, please contact the Reviews and Secretariat Unit via email at <a href="mailto:ilga.secretariat@liquorandgaming.nsw.gov.au">ilga.secretariat@liquorandgaming.nsw.gov.au</a>.

Yours faithfully

Philip Crawford Chairperson

For and on behalf of the Independent Liquor and Gaming Authority

### STATEMENT OF REASONS

## **Decision**

- On 21 February 2019, Mr John Coady, Manager Compliance, Liquor & Gaming NSW (L&GNSW) submitted to the Authority a notification that the Licensee, Ms Belinda King (Licensee) of the Romano's Hotel (Premises) had committed a prescribed offence (Notification).
- 2. The Notification included evidence that NSW Police (Police) issued the Licensee a Penalty Notice (PN) for the prescribed offence (Offence) of 'licensee allow liquor to be sold or supplied to a minor on licensed premises' in relation to an incident that occurred at the Premises on 9 July 2018.
- 3. The Notification also included evidence, by way of Revenue NSW data, that the penalty notice was paid on 16 January 2019.
- 4. The Authority is satisfied that the Licensee has committed a prescribed offence and there are no other strikes in force against her.
- 5. In accordance with section 144E of the *Liquor Act 2007* (Act), the Authority has determined to impose a first strike against the Licensee.
- 6. The Authority considers that it may be in the public interest, subject to consideration of any final submissions, to take further remedial action against the licence under section 144G(1) and section 53(1)(b) of the Act. Notice of this action is provided at the end of this statement of reasons.
- 7. In reaching its decision, the Authority has had regard to the material before it, the legislative provisions under sections 3, 53, 144B, 144C, 144E, 144F, 144G, 144H, 144M of the Act and the Liquor Regulation 2018 (Regulation).
- 8. The first strike will commence from 5 July 2019 and will expire on 5 July 2022.

# **Material considered by the Authority**

- 9. The Authority has considered the Notification and all submissions received in relation to the Notification.
- 10. The Authority is satisfied that procedural fairness was afforded to the Licensee and interested parties regarding this decision, as all parties required to be notified were provided with the opportunity to make submissions.
- 11. The Authority notes that a notification was submitted simultaneously in relation to a prescribed offence under the Minors Sanction Scheme, arising from the same facts. The Authority has notified, in separate correspondence, its decision to take no further action under the scheme.
- 12. A list of the material considered by the Authority in making this decision is set out in Schedule A at the end of this statement of reasons.

## Legislative framework

13. The Authority has considered the Notification in the context of the relevant legislative provisions as set out in Schedule B.

# **Key findings**

14. The Authority is satisfied that at the time of the Offence, based on the liquor licence document provided in the Notification, Ms King was the licensee of the Romano's Hotel.

- 15. The Authority accepts NSW Police's account of the Offence contained in Computerised Operational Policing System (COPS) event report (number 69061773) which records the following information:
  - a. On 11 July 2018, Police were contacted by the Licensee of Romano's Hotel, regarding an incident which occurred at the Premises.
  - b. The Licensee advised that a male patron had attended a private function at the Premises on 9 July 2018 and had been found to have vomited in the function area and the bathroom, where he was found to be unresponsive by staff members.
  - c. NSW Ambulance and Police had attended the Premises in response. During the treatment it was discovered that the male was 17 years of age.
  - d. An investigation of the incident revealed that the patron had attended the function without having had his identification checked by staff members.
  - e. The patron was served alcohol on the function bar tab (one beer and one flute of champagne) and after the bar tab had ceased, the patron had purchased a beer and two bottles of champagne.
- 16. The Authority accepts L&GNSW's submission that the sale or supply of liquor to a minor greatly increases the risk of harm occurring, due to a minor's inherent vulnerability and their physical and psychological immaturity.
- 17. The Authority acknowledges L&GNSW's submission that while medical intervention was sought, this was due to the minor being found unresponsive.
- 18. The Authority has considered the Police submission that the Licensee has displayed a level of honesty and integrity by actively reporting the incident to Police.
- 19. The Authority notes the Police submission which details the various steps taken by the Licensee to ensure such an incident does not occur again in future.
- 20. The Authority acknowledges the Licensee's submission that both staff members had assessed the minor as being in his mid-20s and as a result did not feel a need to check his ID. The minor's purchase of alcohol in excess of \$200 further contributed to this misconception by staff in addition to the conference organiser's indication that all attendees were over the age of 18 years.

## Incurring a first strike

- 21. Under section 144E of the Act, the Authority, when determining whether a first strike should be incurred against a licensee or approved manager, must be satisfied that:
  - a. the person has committed a prescribed offence, and
  - b. there is currently no other strike in force against them, and
  - c. the seriousness of any harm that may have resulted from or been associated with the commission of the offence warrants a first strike being imposed.
- 22. In accordance with section 144C of the Act, a person commits a prescribed offence if a penalty notice enforcement order under the *Fines Act 1996* is made against the person in respect of the offence.

- 23. Based on the material before it, the Authority notes that the Licensee was issued a PN for the prescribed offence of *'licensee allow liquor to be sold or supplied to a minor on licensed premises'* which occurred on 9 July 2018.
- 24. The Authority is satisfied that the Licensee has committed a prescribed offence pursuant to section 144C of the Act. This finding is made based on the Revenue NSW evidence provided in the Notification, which confirms the PN was paid on 16 January 2019.
- 25. The Authority, based on the material before it, is also satisfied there is no evidence suggesting any other strike is currently in force against the Licensee.
- 26. The Authority acknowledges that a minor was physically ill and unresponsive due to his level of intoxication. The Authority considers the seriousness of harm realised to be of a sufficiently serious nature and warrants the imposition of a first strike against the Licensee.

## Statutory considerations under section 144M(1)(c) of the Act

The Authority is satisfied:

- (i) Based on schedule 4 of the Act, the licensed premises is not a declared premises.
- (ii) On the basis of the submission made by L&GNSW, there is no evidence to suggest the Premises' size and patron capacity at the time hindered the Licensee's ability to prevent the prescribed offence from occurring.
- (iii) On the basis of the submission made by L&GNSW, the Licensee has no history of committing prescribed offences.
- (iv) On the basis of the submission made by L&GNSW, since the inception of the Violent Venues Scheme, the Premises has been categorised as a Level 2 declared premises in rounds 1 and 15. Notably, in Rounds 19 and 20 of the Violent Venues Scheme, the Premises only had one violent incident attributed to it in relation to common assault.
- (v) On the basis of the submission made by L&GNSW, remedial action under section 144F of the Act in the form of Licensee training and a plan of management condition under section 144G of the Act may be appropriate.
- (vi) On the basis of the submission made by L&GNSW, there have not been any changes to the licence for the Romano's Hotel.
- (vii) On the basis of the Licensee's submission, the Premises has since made the business decision to no longer cater for 18<sup>th</sup> birthday celebrations to avoid issues with minors. The Licensee has also updated processes and procedures for the Premises and has committed to providing refresher training for all staff in relation to minors and intoxication.
- (viii) On the basis of the submission made by L&GNSW, there are no other matters prescribed by the regulations the Authority is required to consider.

#### Conclusion

- 27. Having regard to the material before it, the Authority has determined to impose a first strike against Ms King under section 144E of the Act.
- 28. The first strike will commence from 5 July 2019 and will expire on 5 July 2022.
- 29. Due to the seriousness of harm that occurred as a result of the Offence, the Authority considers that it may be in the public interest, subject to consideration of any final submissions, to take further remedial action against the licence of the Romano's Hotel (LIQH400121164) in the form of licence conditions.

- 30. NSW Police and L&GNSW are invited to provide submissions in response to the Authority's consideration to take additional action against the licence and will have until 18 July 2019 should they wish to do so.
- 31. Pursuant to section 53(4) of the Act, the Licensee will then have until 1 August 2019 to provide any final submissions in reply before the Authority makes its final decision.
- 32. Submissions should be made to the Reviews and Secretariat Unit via email at <a href="mailto:ilga.secretariat@liquorandgaming.nsw.gov.au">ilga.secretariat@liquorandgaming.nsw.gov.au</a> and a copy provided to each of the parties to whom this letter is addressed.

Yours faithfully

Philip Crawford **Chairperson** 

For and on behalf of the Independent Liquor and Gaming Authority

## **Important Information:**

In accordance with section 144O of the *Liquor Act 2007* the licensee or manager of whom the strike relates may, after a period of 6 months following the date on which the strike was imposed, apply to the Authority for a review of its decision to impose the strike.

An application under this section must be accompanied by the fee prescribed by the regulations.

In accordance with section 144N of the *Liquor Act 2007* a relevant person (a person who was required to be notified of the decision) who is aggrieved by this decision may apply to NCAT for an administrative review under the *Administrative Decisions Review Act 1997*.

An application to NCAT must be made within 21 days after the person receives notification and be accompanied by the fee prescribed by the regulations.

For more information please contact the NCAT Registry at Level 10 John Maddison Tower, 86-90 Goulburn Street Sydney. The NCAT website is at <a href="http://www.ncat.nsw.gov.au/">http://www.ncat.nsw.gov.au/</a>.

This decision will be published on the Liquor and Gaming website at: <a href="http://www.liquorandgaming.nsw.gov.au/Pages/ilga/decisions-of-interest/decis

# Schedule A – Material Considered by the Authority

- 1. Notification of potential first strike from L&GNSW, dated 21 February 2019, which comprised:
  - a. Copy of COPS event 69061773.
  - b. Copy of Penalty Notice number 4943464002.
  - c. Liquor Licence number LIQH400121164 as at 25 January 2019.
  - d. Extract of Revenue NSW data as at 22 January 2019.
- 2. Submission from L&GNSW in relation to the Notification, dated 21 February 2019.
- 3. Submission from NSW Police in relation to the Notification, dated 4 March 2019.
- 4. Submission from the Licensee in relation to the Notification, dated 26 March 2019.

## Schedule B – Legislative Framework

# 3 Objects of Act

- (1) The objects of this Act are as follows:
  - (a) to regulate and control the sale, supply and consumption of liquor in a way that is consistent with the expectations, needs and aspirations of the community,
  - (b) to facilitate the balanced development, in the public interest, of the liquor industry, through a flexible and practical regulatory system with minimal formality and technicality,
  - (c) to contribute to the responsible development of related industries such as the live music, entertainment, tourism and hospitality industries.
- (2) In order to secure the objects of this Act, each person who exercises functions under this Act (including a licensee) is required to have due regard to the following:
  - (a) the need to minimise harm associated with misuse and abuse of liquor (including harm arising from violence and other anti-social behaviour),
  - (b) the need to encourage responsible attitudes and practices towards the promotion, sale, supply, service and consumption of liquor,
  - (c) the need to ensure that the sale, supply and consumption of liquor contributes to, and does not detract from, the amenity of community life.

## 53 Authority may impose, vary or revoke licence conditions

- (1) Without limiting any other provision of this Act, the Authority may at any time:
  - (a) on application by the Secretary or the Commissioner of Police, or
  - (b) on the Authority's own initiative,
  - impose conditions on a licence.
- (1A) The conditions that may be imposed by the Authority on a licence under this section include, but are not limited to, conditions:
  - (a) prohibiting the sale or supply of liquor on the licensed premises before 10 am or after 11 pm (or both), and
  - (b) restricting the trading hours of, and public access to, the licensed premises.
- (2) The Authority may at any time:
  - (a) on application by the licensee, the Secretary or the Commissioner of Police, or
  - (b) on the Authority's own initiative,
  - vary or revoke a condition of a licence that has been imposed (or taken to have been imposed) by the Authority under this Act.
- (3) An application under subsection (1) or (2) must:
  - (a) be in the form and manner approved by the Authority, and
  - (b) in the case of an application by a licensee—be accompanied by the fee prescribed by the regulations, and
  - (c) be accompanied by such information and particulars as may be prescribed by the regulations, and
  - (d) if required by the regulations to be advertised—be advertised in accordance with the regulations, and
  - (e) comply with such other requirements as may be approved by the Authority or prescribed by the regulations.

- (4) The Authority must not impose a condition on a licence after it has been granted, or vary or revoke a condition that has been imposed (or taken to have been imposed) by the Authority, unless the Authority has:
  - (a) given the licensee a reasonable opportunity to make submissions in relation to the proposed decision, and
  - (b) taken any such submissions into consideration before making the decision.
- (5) Any person may, subject to and in accordance with the regulations, make a submission to the Authority in relation to an application under this section to vary or revoke a condition to which a licence is subject.
- (6) If any such submission is made to the Authority, the Authority is to take the submission into consideration before deciding whether or not to vary or revoke the licence condition.

#### 144B Definitions

(1) In this Part:

**business owner**, in relation to a licence, means an owner of the business carried on under the licence.

**prescribed offence** means an offence against any of the following provisions of this Act or any other provision of this Act or the regulations that is prescribed by the regulations for the purposes of this definition:

- (a) section 9 (sale or supply of liquor contrary to licence), but only where the offence relates to the sale or supply of liquor on or in relation to licensed premises outside of the trading hours of the premises,
- (b) section 11 (2) (breach of licence conditions), but only in respect of a condition imposed under:
  - (i) Division 4 of Part 6, or
  - (ii) Division 2 or 3 of this Part, or
  - (iii) Schedule 4,

that restricts the trading hours of licensed premises or prohibits patrons from entering licensed premises at certain times,

- (c) section 73 (1) (a) or (b) (permitting intoxication or indecent, violent or quarrelsome conduct),
- (d) section 73 (2) (selling or supplying liquor to an intoxicated person),
- (e) section 74 (1) (b) or (2) (permitting the sale, possession or use of a prohibited plant or drug),
- (f) section 75 (3) (failure to comply with a direction given by the Secretary),
- (g) section 82 (6) (failure to comply with a short-term closure order),
- (h) section 84 (7) (failure to comply with a long-term closure order),
- (i) section 102A (2) (failure to comply with a notice issued by the Secretary),
- (j) section 117 (1), (2) or (8) (selling or supplying liquor to a minor or allowing such sale or supply),
- (k) section 149 (licensees and managers liable for act of employees etc) in respect of a contravention of section 73 (2), 75 (3) or 117 (1) or (2).

**remedial action** means any action that the Authority is authorised to take under section 144F, 144G or 144J.

**reviewable decision** means a decision (including a decision to impose a condition on a licence) made by the Authority under this Part.

(2) A reference in this Part to the licensee or manager of licensed premises includes a reference to a former licensee or manager of licensed premises.

## 144C Committing prescribed offence

- (1) For the purposes of this Part, a person commits a prescribed offence if:
  - (a) a court convicts the person for the offence (whether or not it imposes any penalty), or
  - (b) an amount is paid under a penalty notice in respect of the offence, or
  - (c) a penalty notice enforcement order under the Fines Act 1996 is made against the person in respect of the offence.
- (2) However, if:
  - (a) the conviction is overturned on appeal, or
  - (b) the person elects, after an amount is paid under the penalty notice, to have the offence dealt with by a court, or
  - (c) the penalty notice, or the penalty notice enforcement order to the extent that it applies to the penalty notice, is withdrawn or annulled,
  - any strike under this Part that is based on the conviction, penalty notice or enforcement order is revoked and any remedial action taken as the result of the strike ceases to have effect.
- (3) Prescribed offences that are committed in relation to a particular licence or licensed premises within a single 24 hour period are taken, for the purposes of this Part, to be a single prescribed offence.

## 144E Strikes incurred by licensees or managers of licensed premises

- (1) A first strike is incurred by a person who is the licensee or manager of licensed premises if:
  - (a) the person commits a prescribed offence, and
  - (b) no other strike is in force against the person when the offence was committed, and
  - (c) the Authority decides to impose the first strike because of the seriousness of any harm that may have resulted from, or been associated with, the commission of the offence.
- (2) A second strike is incurred by a person who is the licensee or manager of licensed premises if:
  - (a) the person commits a prescribed offence, and
  - (b) 1 strike was in force against the person when the offence was committed, and
  - (c) the Authority decides to impose the second strike because of the seriousness of any harm that may have resulted from, or been associated with, the commission of the offence.
- (3) A third strike is incurred by a person who is the licensee or manager of licensed premises if:
  - (a) the person commits a prescribed offence, and
  - (b) 2 strikes were in force against the person when the offence was committed, and
  - (c) the Authority decides to impose the third strike after taking the following into account:
    - (i) the seriousness of any harm that may have resulted from, or been associated with, the commission of the offence,
    - (ii) any other matter that may be prescribed by the regulations.

# 144F Remedial action against licensee or manager of licensed premises

- (1) If the licensee or manager of licensed premises incurs 1 strike that is in force, the Authority may, by order in writing, require the person to undertake such courses of training or instruction as the Authority considers appropriate for the purposes of managing or reducing the risks that contributed to the commission of the prescribed offence in respect of which the strike was incurred.
- (2) If the licensee or manager of licensed premises incurs 2 strikes that are in force, the Authority may do any one or more of the following:
  - (a) take any action specified in subsection (1),
  - (b) reprimand the person,
  - (c) order the person to pay, within the time specified in the order, a monetary penalty not exceeding 5 penalty units.
- (3) If the licensee or manager of licensed premises incurs 3 strikes that are in force, the Authority may do any one or more of the following:
  - (a) take any action specified in subsection (1) or (2),
  - (b) by order in writing, disqualify (permanently or for a specified period) the person from being the licensee or manager of any licensed premises.
- (4) Any monetary penalty ordered to be paid under this section is payable to the Secretary.

## 144G Remedial action—imposition of licence conditions

(1) Remedial action for 1 strike

If a person who is the licensee or manager of licensed premises incurs 1 strike that is in force, the Authority may impose conditions on the licence that relate to any one or more of the following:

- (a) the use of plans of management and incident registers in respect of the licensed premises,
- (b) the prohibition of the use of glass or other breakable containers on the licensed premises,
- (c) the engagement of persons to promote the responsible service of alcohol on the licensed premises,
- (d) the notification of persons, by the licensee, that the strike has been incurred,
- (e) any other matter that may be prescribed by the regulations.
- (2) Remedial action for 2 strikes

If a person who is the licensee or manager of licensed premises incurs 2 strikes that are in force, the Authority may impose conditions on the licence that relate to any one or more of the following:

- (a) in the case where the licence is held by a corporation—the persons who may be appointed as a manager of the licensed premises,
- (b) the implementation of security measures in respect of the licensed premises,
- (c) the prohibition of the sale or supply of liquor on the licensed premises before 10 am or after 11 pm (or both),
- (d) the prohibition of patrons entering the licensed premises at certain times,

- (e) the prohibition of the sale or supply of certain types of liquor on the licensed premises (including liquor with a high alcohol content or liquor that is intended to be consumed rapidly such as a shot),
- (f) the prohibition of certain types of entertainment on the licensed premises,
- (g) any matter referred to in subsection (1),
- (h) any other matter that may be prescribed by the regulations.
- (3) Remedial action for 3 strikes

If a person who is the licensee or manager of licensed premises incurs 3 strikes that are in force, the Authority may do any one or more of the following:

- (a) by order in writing, suspend the licence for up to 12 months,
- (b) by order in writing, cancel the licence and disqualify (for up to 12 months) any of the following persons from being granted a licence in respect of the premises to which the cancelled licence related (the subject premises):
  - (i) any person who was a business owner under the cancelled licence at the time the prescribed offence resulting in the third strike being incurred was committed,
  - (ii) any close associate of any such business owner.
- (c) impose a condition on the licence, or any subsequent licence issued in respect of the subject premises, that a person who is disqualified under paragraph (b) must not be employed or otherwise engaged as an employee or agent of the licensee or manager of those premises,
- (d) impose a condition on the licence relating to any matter referred to in subsections (1) and (2),
- (e) impose, vary or revoke any other condition on the licence that is not inconsistent with this Act.

## 144H Authority may impose other conditions in certain circumstances

- (1) If, in relation to licensed premises, the Authority is satisfied that:
  - (a) there is or has been a practice of terminating the employment of persons as the licensee or manager of the premises primarily for the reason of avoiding remedial action being taken in respect of the licence as a result of strikes incurred by such persons, and
  - (b) there has been, with the subsequent employment of any person as the licensee or manager of the premises, no improvement in managing or reducing the risks that contributed to the commission of the prescribed offences in respect of which those strikes were incurred.

the Authority may impose conditions on the licence to limit the practice referred to in paragraph (a) or to manage or reduce the risk of the commission of prescribed offences on the licensed premises.

(2) The conditions that the Authority may impose under this section include conditions relating to the employment of a person as the licensee or manager of the licensed premises.

## 144M Requirements relating to making of reviewable decisions

- (1) The Authority must, in making a reviewable decision:
  - (a) notify the following persons in writing that the Authority is deciding the matter and invite those persons to make a submission within a specified period of at least 21 days:
    - (i) the licensee or manager in respect of whom the decision relates,

- (ii) if the decision is whether a second or third strike should be imposed—each interested person in the business carried on under the licence concerned (but only if the person's name has been provided to the Authority under section 41 or 55) and the owner of the licensed premises,
- (iii) any other person prescribed by the regulations, and
- (b) take into account any submissions received before the end of the specified period from any of the following:
  - (i) a person referred to in paragraph (a),
  - (ii) the NSW Police Force,
  - (iii) Liquor and Gaming NSW, Department of Industry, and
- (c) take into account each of the following to the extent that the Authority considers it to be relevant to the decision:
  - (i) whether the licensed premises were declared premises within the meaning of Schedule 4 when the offences that caused a strike are alleged to have been committed,
  - (ii) the size and patron capacity of the licensed premises and how this may impact on the ability of the licensee or manager to prevent the commission of prescribed offences.
  - (iii) the history and nature of the commission of prescribed offences by the licensee or manager,
  - (iv) the history and nature of violent incidents that have occurred in connection with the licensed premises,
  - (v) whether other action would be preferable,
  - (vi) whether there have been changes to the persons who are the licensee, manager or business owner,
  - (vii) whether there have been changes to the business practices in respect of the business carried on under the licence,
  - (viii) any other matter prescribed by the regulations.
- (2) Subsection (1) does not prevent the Authority from taking into account any other matter that the Authority considers relevant to the proper making of a reviewable decision.
- (3) The Authority must, as soon as practicable after making a reviewable decision, give notice in writing of the decision, the reasons for the decision and any right of review in respect of the decision to each person that is required to be notified by the Authority under subsection (1) (a) in respect of the decision.
- (4) A submission provided to the Authority under subsection (1) (b) (i) may not be used for the purposes of prosecuting an offence under this Act.
- (5) The regulations may prescribe guidelines setting out how the matters referred to in subsection (1) (c) are to be taken into account by the Authority.